

FcγRIIIA-Activating Antibodies in Dengue Virus Infection Reveals a Distinct, Transient Cross-Reactive Profile

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Scope Statement

Dengue virus has four types and is spread mainly by mosquitoes, especially *Aedes aegypti*. When someone gets infected, their immune system makes two types of antibodies: one that targets the specific virus they got and another that reacts to the other types. These antibodies can both help fight the virus and, in some cases, actually make the disease worse. This study focused on a part of the immune system that isn't usually examined closely — a receptor called FcγRIIIA, which helps immune cells recognize and respond to antibodies. We used special cells to test how this receptor reacted to blood samples from people who had been infected with dengue, both recently and in the past. We found that a certain type of antibody activity—mainly from antibodies that react to multiple dengue types—increases shortly after the illness but fades within two years. This type of antibody activity doesn't match up exactly with how well the antibodies can block the virus or how they might help it infect cells more easily. Overall, this study offers new insight into how antibodies behave during and after dengue infection. Understanding this better could help in developing vaccines or treatments that avoid triggering harmful immune responses.

Conflict of interest statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

Credit Author Statement

Claudio Soto-Garita: Conceptualization, Data curation, Formal Analysis, Investigation, Methodology, Validation, Visualization, Writing – original draft, Writing – review & editing. **Eugenia Corrales-Aguilar:** Conceptualization, Formal Analysis, Funding acquisition, Methodology, Project administration, Supervision, Writing – original draft, Writing – review & editing. **Hartmut Hengel:** Conceptualization, Formal Analysis, Investigation, Resources, Writing – review & editing. **Tatiana Murillo:** Data curation, Formal Analysis, Investigation, Methodology, Validation, Visualization, Writing – original draft, Writing – review & editing.

Keywords

denv, humoral response, Antibody-dependent enhancement (ADE), FcγRIIIA, CD16A

Abstract

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Dengue viruses (DENVs), members of the *Flavivirus* genus, comprise four antigenically distinct serotypes (DENV-1 to DENV-4) transmitted primarily by *Aedes aegypti*. Clinical outcomes of DENV infection range from mild to severe, with the host antiviral immune response playing a pivotal role in disease progression. Antibody responses to DENV include serotype-specific (homotypic) and cross-reactive (heterotypic) antibodies, both of which can mediate protective immunity or contribute to immunopathogenesis through antibody-dependent enhancement (ADE). The balance between these outcomes is influenced by multiple host and viral factors. Although antibody effector mechanisms rely on Fc-gamma receptor (FcγR) interactions, these are often overlooked in the assessment of antibody function. In particular, FcγRIIIA has been implicated in both protective and pathogenic roles during viral infection. To investigate its contribution, we employed FcγRIIIA-CD3ζ reporter cells to evaluate receptor activation by polyclonal sera from individuals with acute and past DENV infections. Neutralization capacity and enhancement potential were also analyzed. The FcγRIIIA activation assay revealed a distinct humoral profile, primarily mediated by cross-reactive antibodies, which differed from neutralization and enhancement patterns. This profile increased during the post-acute phase of infection but waned within two years. These findings highlight the dynamic nature of antibody responses, where the same antibody populations may contribute to cross-protection or immunopotential depending on the context. Overall, this study underscores the importance of FcγR-mediated effector functions in shaping DENV immunity and pathogenesis. The FcγRIIIA activation assay provides a valuable tool to characterize functional antibody responses, informing future efforts in vaccine and therapeutic development.

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In review

1 **Brief Research Report**

2 **Fc γ RIIIA-Activating Antibodies in Dengue Virus Infection Reveals a**
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16 **CD16a**

17 **Abstract**

18 Dengue viruses belong to the genus *Flavivirus* and consist of a serocomplex of four serotypes (DENV-
19 1, DENV-2, DENV-3, and DENV-4). As arthropod-borne viruses (arboviruses), their transmission is
20 mediated primarily by the vector *Aedes aegypti*. Antiviral immune response is one of the most crucial
21 factors influencing the progression from uncomplicated to severe dengue virus (DENV) infection. Two
22 types of antibody responses are elicited during a DENV infection: one specific to the infecting serotype
23 (serotype-specific or homotypic response) and another that cross-reacts with other serotypes (cross-
24 reactive or heterotypic response). Both responses play roles in the protection against and in the
25 induction of immunopathogenesis of DENV disease. In the case of the humoral immune response, the
26 balance between protective and pathogenic effects mediated by antibodies (antibody-dependent
27 enhancement, ADE) is highly dynamic and influenced by multiple factors. Although many downstream
28 effector mechanisms depend on antibody recognition by Fc-gamma receptors (Fc γ Rs) present on
29 immune effector cells, this interaction is traditionally not considered when evaluating antibody
30 properties. Specifically, Fc γ RIIIA has been implicated in both protection and immunopathogenesis of
31 virus infection. To assess its role within the humoral immune response to DENV, we took advantage
32 of Fc γ RIIIA-CD3 ζ reporter cells and tested receptor activation by polyclonal sera from individuals
33 with past and acute DENV infections. In addition, the neutralizing capacity and the potential
34 enhancement of infection were analyzed. The Fc γ RIIIA activation assay revealed a humoral profile
35 distinct from neutralization and immunopotential, primarily mediated by cross-reactive antibodies.
36 Notably, this profile increases during the post-acute period but disappears within two years after
37 infection. Because these two types of antibodies are found during both the cross-protective and disease-

38 enhancing (immunopotential) phases, their exact function in each situation is still not clearly
39 understood. The results of this study provide a valuable measurement of the effector function of anti-
40 DENV antibodies, contributing to the understanding of their role in both protective and disease
41 enhancing courses of DENV infection.

42 **1 Introduction**

43 Dengue virus (DENV) is an arthropod-borne virus (arbovirus) transmitted by *Aedes aegypti* and *Aedes*
44 *albopictus*, being the former the most important vector. DENV is assigned to the family *Flaviviridae* and the
45 genus *Orthoflavivirus* and poses a major global health burden in tropical and subtropical regions. The World
46 Health Organization (WHO) estimates that between 100 to 400 million infections occur yearly and that half the
47 world population is at risk of infection (1). Costa Rica is considered a hyperendemic country for dengue, with
48 co-circulation of all four DENV serotypes and recurring outbreaks that continue to pose major public health
49 concerns (2). DENV infection is characterized by an incubation period of 4 to 10 days after the mosquito bites
50 and produces a spectrum of clinical manifestations. Although many of the infections are asymptomatic, it can
51 produce a self-limited but debilitating clinical presentation characterized by high fever, headache, retroorbital
52 pain, myalgia, arthralgia, nausea, vomiting, lymphadenopathy and rash. The major risk of DENV infection is
53 for those patients who develop dengue-hemorrhagic fever (DHF) which can be death threatening (3). DHF has
54 three phases: febrile, critical and recovery. In the critical phase the increase in capillary permeability leads to
55 plasma leakage and hypovolemic shock with multiorgan failure, metabolic acidosis, disseminated intravascular
56 coagulation and hemorrhage (4). Some critical patients can develop hepatitis, encephalitis, myocarditis, and
57 severe hemorrhage without plasma leakage. In these cases, intravenous rehydration treatment can reduce
58 mortality from 20% to 1% (3).

59 Four DENV serotypes (1, 2, 3, 4) exist, sharing between 60%-70% of their coding sequence (5). DENV
60 pathogenicity in the human host can be partially explained by differences in viral virulence due to genotype and
61 serotype (6). For instance, the Asian genotype of DENV-2 produces a more severe disease than the American
62 genotype (7, 8). Host factors are also implicated in the severity of the disease, including the humoral immune
63 response. The immune response against DENV differs between serotypes, a serotypic-specific or homotypic
64 response is produced against the infecting serotype while a cross-reacting or heterotypic response is generated
65 against other serotypes (9). A heterotypic immune response provides protection for an estimated period of six
66 months to three years while a homotypic immune response should give a lifetime protection (10). However,
67 once a cross-reacting immune response cannot protect the host anymore, it can contribute to the
68 immunopathogenesis of the disease by exacerbating inflammation through a cytokine storm or
69 immunopotential (ADE) (11). The overproduction of cytokines produces endothelial cell damage increasing
70 vascular permeability and plasma leakage characteristic of DHF (12). Complement activation and the production
71 of a temporal autoimmune response may also occur (13, 14).

72 Both the cellular and humoral heterotypic immune response may induce immunopathogenesis. Cross-reacting
73 cytotoxic T cells are ineffective at controlling the infection and increase the production of cytokines (15).
74 Antibody-dependent enhancement (ADE) of infection occurs when IgG antibodies bind the viral particles but
75 are incapable to neutralize them and instead, form immune complexes that bind to the Fc γ receptors (Fc γ R) on
76 immune cells, favoring viral infection of these cells followed by uncontrolled immune cell activation (16).
77 Antibody specificity determines the risk of developing ADE. Antibodies targeting the I- and II- domain of the
78 envelope (E) viral glycoprotein are highly serotype cross-reactive and associated with ADE (11, 17). The
79 tridimensional disposition of the epitopes and antibody concentration also has an impact on the development of
80 ADE (18). Linear epitopes and neutralizing antibodies at low concentrations can favor ADE (19, 20). Thus,
81 serotype-specific, and cross-reactive antibodies may produce ADE depending on their concentration (18).

82 Fc γ receptors (Fc γ Rs) belong to the immunoglobulin superfamily and are expressed on the surface of various
83 immune cells, including monocytes, macrophages, neutrophils, and NK cells. The three main classes—Fc γ RI
84 (CD64), Fc γ RII (CD32), and Fc γ RIII (CD16)—differ in structure, cellular distribution, affinity for IgG
85 subclasses, and the signaling pathways they activate (21). In the context of DENV infection, Fc γ Rs play a dual

86 role: they can mediate protective immune clearance or contribute to ADE, depending on the antibody
87 characteristics and the receptor involved. Notably, FcγRIIIa (CD16a), expressed primarily on NK cells and some
88 myeloid populations, has been implicated in both beneficial effector functions such as antibody dependent cell-
89 mediated cytotoxicity (ADCC) and potentially in facilitating ADE under certain conditions (22, 23). Despite its
90 relevance, the dynamics of FcγRIIIa activation during acute dengue infection remain poorly understood. In this
91 study, we aim to characterize the FcγRIIIa-activating antibody profile in individuals with acute dengue infection,
92 evaluate how it relates to other antibody effector functions and compare it to the profile found in convalescent
93 patients.

94 **2 Materials and Methods**

95 **2.1 Serum Samples**

96
97 Two sets of serum samples were analyzed. A first set consisted of seven anonymous convalescent serum samples
98 (S) collected in Golfito and Puntarenas, which represented DENV hyperendemic regions in Costa Rica, for a
99 previous sero-epidemiological study during 2005-2006 (24). The second set of samples were collected from
100 seven acute dengue adult patients with follow-up serial sample collections (**table 1**). All sera were collected
101 from non-severe dengue cases. Previous exposure to DENV infection was assessed with IgG detection in the
102 acute sample with a commercial ELISA. Individuals with IgG antibodies against DENV during acute infection
103 were categorized as non-primary infection (NP) and those where antibodies were not detected were classified
104 as primary infection (P). Ethical approval for the use of human samples was given to the project B7360 in the
105 resolution VI-3178-2017 by the Scientific Ethical Committee from the Vice rector of Research of the
106 University of Costa Rica.

107 **2.2 Anti-DENV IgG and IgM detection**

108 To detect IgG and IgM antibodies against DENV, two highly sensitive commercial ELISA kits were used (26):
109 the Human Dengue IgG ELISA Test Kit (Diagnostic Automation, Cortez Diagnostics Inc., CA, USA) with
110 94.7% sensitivity and 97.4% specificity, and the Human Dengue IgM ELISA Test Kit (Diagnostic Automation,
111 Cortez Diagnostics Inc., CA, USA) with 97.8% sensitivity and 93.5% specificity. Both assays were performed
112 following the manufacturer's protocol. Optical density (OD) values were measured after a 25-minute reading at
113 450 nm and 630 nm using the Epoch spectrophotometer (BioTek, Vermont, USA).

114 115 **2.3 Molecular detection and serotyping of DENV**

116
117 Viral RNA was extracted from 200 µl of serum or urine using the MagNA Pure LC RNA Isolation Kit I (Roche,
118 Basel, Switzerland) according to the manufacturer's instructions, using the MagNA Pure LC 2.0 extraction
119 system (Roche, Basel, Switzerland). Detection and confirmation of DENV, ZIKV, and CHIKV were conducted
120 on RNA samples using real-time reverse transcription PCR (RT-PCR) with Modular Diagnostic Kits for
121 Dengue, Zika, and Chikungunya viruses, along with Multiplex RNA Master Mix on the LightCycler II (Roche,
122 Basel, Switzerland), following the manufacturer protocol. Dengue serotyping was carried out following the
123 protocol described by Lanciotti et al., using specific serotype controls (25).

124 125 **2.4 Viral strains and cell lines**

126 Dengue virus prototype strains all grown in the C6/36 cell line (ATCC® CRL-1660™ RRID:CVCLZ230),
127 donated by the *Pedro Kouri Institute* in Cuba, were used in the K562 (ATCC® CCL-243™ RRID:CVCL0004)
128 immune enhancement and FcγRIIIA–CD3ζ activation assays (26). The strains were DENV-1 Angola (12
129 passages), DENV-2 Jamaica (19 passages), DENV-3 Nicaragua (13 passages) and DENV-4 Dominica (16
130 passages).

131 For neutralization assays, chimeric viruses (ChimeriVax – DENV1, DENV2, DENV3 and DENV4) produced
132 by Sanofi Pasteur and grown in Vero cells (ATCC® CCL-81™ RRID:CVCL0059) were used (27). These

133 viruses are based on the yellow fever 17D vaccine backbone and express only the prM and E genes of each
134 DENV serotype, thereby assessing the neutralizing activity of antibodies directed against the major structural
135 antigens involved in viral entry. Using this approach restricts the readout to neutralization-relevant epitopes,
136 thereby minimizing contributions from other viral proteins. These viruses were donated by Sanofi Pasteur
137 through the CDC Arbovirus Reference Collection under a material transfer agreement (MTA).
138

139 **2.5 Reporter cell BW:FcγRIII-ζ assay**

140
141 The assay used to evaluate individual antibody-dependent activation of FcγRIII (CD16) involved co-culturing
142 antigen-bearing cells with BW5147 reporter cells that stably express chimeric FcγRIII-ζ chain receptors. These
143 receptors trigger mouse IL-2 production upon receptor crosslinking by immune-complexed IgG, provided the
144 opsonizing IgG is recognized by specific FcγR (26). This assay was standardized before in Corrales-Aguilar et
145 al (26). Briefly, to assess antibody-dependent activation of BW:FcγRIII-ζ reporter transfectants, Vero cells
146 were infected with 0.1 multiplicity of infection (MOI) of each DENV serotype for a 72-hour period, then virus
147 was inactivated by UV-light. After inactivation, mock-infected and virus-infected cells were incubated with
148 serial two-fold dilutions of human sera in D-MEM (Sigma-Aldrich, MO, USA) containing 10% (v/v) FCS
149 (Thermo Fisher Scientific, MA, EE.UU.) for 30 minutes at 37 °C in a 5% CO₂ atmosphere. Non-bound IgG was
150 removed by washing the cells three times with D-MEM containing 10% (v/v) FCS before co-culturing them
151 with 100 000 BW:FcγRIII-ζ reporter cells per well for 16 to 24 hours at 37 °C in a 5% CO₂ atmosphere in RPMI
152 medium (Thermo Fisher Scientific, MA, EE.UU.) supplemented with 10% (v/v) FCS. Unless otherwise noted,
153 experiments were conducted in triplicate with a MOI of 0.1. After the 16 to 24-hour co-cultivation, supernatants
154 were diluted 1:2 in ELISA sample buffer (PBS with 10% [v/v] FCS and 0.1% [v/v] Tween-20). Mouse IL-2
155 levels were then measured by ELISA using the capture antibody JES6-1A12 and the biotinylated detection
156 antibody JES6-5H4 (BD Pharmingen™, Erembodegem, Belgium. RRID:AB2067783 and RRID:AB2621654
157 respectively) following the manufacturer instructions. The cutoff point for result interpretation was calculated
158 by adding the mean of mL-2 production in virus-free (or mock) cells and three standard deviations. Values
159 above this cutoff point were considered positive. The magnitude of IL-2 production was interpreted as an
160 indicator of the strength of receptor engagement by IgG–virus immune complexes. Higher IL-2 values reflect
161 more efficient crosslinking of FcγRIIIA (26, 28).
162

163 **2.6 Focus reduction neutralization test (FRNT)**

164
165 For the FRNT assay, ChimeriVax strains (YFV-DENV1, 2, 3, and 4), validated for viral neutralization studies
166 (29), were used. A focus-reduction microneutralization assay (FRNT) was performed in flat-bottom 96-well
167 plates (30). Serial two-fold dilutions of sera, starting at 1:40, were incubated for 1 hour at 37 °C with viral stocks,
168 adjusted to yield 30–200 foci per well in at least four wells. The mixture was then inoculated (50 μL/well) into
169 confluent Vero cell monolayers and incubated for an additional hour to allow viral adsorption. The adsorption
170 medium was replaced by 100 μL of 1.5% carboxymethylcellulose overlay medium to restrict infection. DENV-
171 1, DENV-2, and DENV-3 were incubated at 37 °C for 48 hours, while DENV-4 was incubated for 24 hours.
172 Post-incubation, the overlay medium was removed, wells were washed with PBS (Thermo Fisher Scientific,
173 MA, EE.UU.) and fixed with 100 μL of cold methanol per well. Plates were stored at –20 °C for at least 24
174 hours. For focus visualization, immunostaining was performed using an anti-flavivirus group monoclonal
175 antibody 4G2 (GeneTex, CA, USA. RRID:AB3074294) (1:600 dilution) followed by a secondary anti-mouse
176 IgG antibody conjugated with peroxidase (1:600 dilution). The signal was developed using 3-amino-9-
177 ethylcarbazole (AEC) substrate, incubated for 30 minutes at room temperature in darkness. Foci were imaged
178 using a stereoscope and manually counted with ImageJ software (RRID:SCR_003070). FRNT50 was
179 determined in Prism 10 (GraphPad, San Diego, CA, USA) by nonlinear regression, identifying the dilution that
180 reduced foci by 50% (FRNT50). High FRNT50 values indicate stronger neutralizing capacity against the tested
181 DENV serotype.
182

183 **2.7 Antibody dependent enhancement test (ADE)**

184

185 This study used the semi-adherent K562 cell line, which constitutively expresses FcγRIIIa (31), based on a
186 monolayer methodology (32). Plates were coated with fibronectin and 30 000 cells per well were added. Serial
187 dilutions of test sera were mixed with DENV serotypes at a MOI of 0.5 (DENV4) to 0.1 (other serotypes) and
188 incubated at 37°C for 24 (DENV-4) to 48 (other serotypes) hours. Post-incubation, cells were fixed,
189 immunostained with the 4G2 antibody and secondary anti-mouse peroxidase-conjugated antibodies and stained
190 with AEC to visualize infected cells as described before. Infected cells, identified by a precipitated brown color,
191 were observed under light microscopy, and the number of infected cells per 40X field was quantified using
192 ImageJ software. The percentage of infection for all serial dilutions was plotted, and the level of
193 immunopotentiality was determined based on the width of the curve. Samples that exhibited broad curves
194 against more than one DENV serotype were considered to have a high level of immunopotentiality as defined
195 in other studies (18). The magnitude of enhancement was interpreted based on the breadth and height of the
196 curve: narrow, low curves were considered low enhancement, whereas broad curves with high percentages of
197 infection across multiple dilutions indicated strong enhancement potential.

198 **2.8 Statistical analysis**

200 All assays were performed in triplicate unless otherwise indicated. Data are shown as individual values or as
201 mean ± standard deviation (SD). For the FcγRIIIA activation assay, the cutoff for a positive response was
202 defined as the mean IL-2 production of mock-infected cells plus three standard deviations. Neutralization titers
203 (FRNT50) were determined by nonlinear regression analysis using GraphPad Prism 10 (GraphPad Software,
204 San Diego, CA, USA). No formal hypothesis testing was performed due to the small sample size; instead, results
205 are presented descriptively to illustrate individual antibody profiles over time.

207 **3 Results**

208 **3.1 Serological and Functional Characterization of samples**

209 Serum samples were classified into two main groups based on clinical and serological criteria: past infections
210 and acute infections. The past infection group (S) consisted of asymptomatic individuals with serological
211 evidence of prior DENV exposure, while the acute infection group included laboratory-confirmed cases of active
212 dengue virus infection. Acute-phase samples were further subdivided into primary (P) and non-primary
213 infections (NP), based on the presence or absence of anti-DENV IgG within the first seven days following
214 symptom onset. The detection of IgG at this early stage was used as a proxy to distinguish primary infections
215 from those that were likely secondary or beyond. Due to limitations in discriminating between secondary and
216 tertiary or quaternary responses, all early IgG-positive acute cases were conservatively grouped as non-primary
217 (NP) infections.

218
219 The samples from past infections presented highly diverse profiles depending on IgG antibody concentration
220 measured as OD values. Samples with anti-DENV IgG optical density (OD) values below 0.500 displayed a
221 monotypic neutralization profile, showing serotype-specific activity restricted to either DENV-3 (**Figure 1, S1**)
222 or DENV-2 (**Figure 1, S5**). These specimens exhibited minimal ADE activity, revealed by the short breath of
223 the curves against the four serotypes, and failed to induce significant activation of the FcγRIIIA–CD3ζ receptor,
224 suggesting limited effector function in this group.

225
226 In contrast, samples with intermediate anti-DENV ELISA OD values (0.5–1.0) exhibited broader serotype
227 recognition, neutralizing two (**Figure 1, S3 and S7**) or three (**Figure 1, S4**) DENV serotypes. Moderate ADE
228 activity was observed across these samples. Notably, FcγRIIIA–CD3ζ activation was detected exclusively in
229 S4. Interestingly, despite having the highest neutralizing titer against DENV-3, the strongest receptor activation
230 in S4 was induced by DENV-1, highlighting a potential uncoupling between neutralization capacity and Fc-
231 mediated effector activation.

232
233 Only two samples exhibited high anti-DENV IgG OD values (>1.0). Both (**Figure 1, S2 and S6**) neutralized
234 three serotypes and displayed the highest levels of ADE and FcγRIIIA–CD3ζ activation among all specimens

235 analyzed from the past infection cohort. S2 showed peak FcγRIIIA activation in response to DENV-4, with
236 neutralization strongest against DENV-1. In contrast, in S6 the strongest receptor activation occurred in response
237 to DENV-1, while the highest neutralization titer targeted DENV-3. These findings underscore the complex
238 relationships among antibody specificity, enhancement potential, and Fc-mediated effector functions following
239 natural DENV exposure.

240

241 **3.2 Longitudinal Analysis of Serum Samples from Acute DENV Infections**

242

243 In the longitudinal study, the values for all antibody characterization assays for each patient were plotted across
244 all collected samples (T1-T4) (**Figure 2**). In primary DENV infections (**Figure 2, P1 and P2**), the immune
245 response followed classical kinetics, marked by the induction of anti-DENV IgG and a progressive increase in
246 functional activity. Neutralization peaked at T3 timepoint, with strong titers against the infecting serotype
247 (DENV-3). ADE activity rose during the T2 timepoints, with moderate levels persisting in the T3 subacute
248 phase. FcγRIIIA-CD3ζ activation was largely absent, except for a minimal, above-threshold response to DENV-
249 4 in P2 at T3.

250

251 In non-primary infections (NP), antibody dynamics were more heterogeneous. In all cases, the infecting serotype
252 was DENV-1. Patient NP2 showed detectable IgG during the acute phase, but without measurable neutralizing
253 activity. By T2, neutralization peaked against DENV-2, and ADE activity increased notably. A low but
254 detectable FcγRIIIA-CD3ζ activation signal was recorded in T3 against DENV-4 (**Figure 2, NP2**). For NP3,
255 all measured antibody activities—including neutralization, ADE, and FcγRIIIA-CD3ζ activation—peaked at
256 T2 and declined by T3 timepoint. Neutralizing responses were strongest against DENV-2 across all timepoints,
257 followed by DENV-1, suggesting that DENV-2 was likely the priming serotype. FcγRIIIA activation in this case
258 was restricted to DENV-4, which peaked at T2 and decrease by T3 (**Figure 2, NP3**).

259

260 NP5 was the only case with a fourth sample collected nearly five years post-infection (**Figure 2, NP5**). The
261 acute-phase sample (T1) showed the highest IgG OD value among all evaluated samples, along with the
262 strongest neutralizing response against DENV-2, followed by DENV-1, supporting DENV-2 as the primary
263 infecting serotype. FcγRIIIA-CD3ζ activation was significant for DENV-1, DENV-2, and DENV-3, with
264 DENV-1 showing the highest signal from T1. An elevated enhancing activity is seen for all serotypes in all time
265 points. It should be noted that in this case the acute sample had a broad neutralizing activity, recognizing all four
266 serotypes. Although antibody function remained relatively high through T3, all profiles declined markedly by
267 T4.

268

269 Patient NP4 showed persistently high IgG OD values and a broad neutralization activity across all timepoints,
270 being the strongest against DENV-2, suggesting this serotype as the primary exposure. ADE activity increased
271 over time, and FcγRIIIA-CD3ζ activation was pronounced against DENV-1 from the acute phase through T3.
272 A secondary, though significant, activation signal was also observed for DENV-4 (**Figure 2, NP4**). In the case
273 of NP1, the neutralization profile also pointed to DENV-2 as the primary infecting serotype. Enhancing activity
274 was initially low but increased by T2. FcγRIIIA-CD3ζ activation was undetectable in the acute phase, but
275 increased significantly in the subacute sample, particularly in response to DENV-4, DENV-3, and DENV-2.

276

277 Collectively, these findings highlight the dynamic and individualized nature of DENV-specific antibody
278 responses following natural infection. Primary infections showed a more predictable trajectory of rising
279 neutralization and ADE activity, with minimal detection of FcγRIIIA activation. In contrast, non-primary
280 infections were characterized by broader serotype recognition, variable neutralization targets, and a more
281 prominent engagement of FcγRIIIA-mediated triggering. Notably, patients NP4 and NP5—who exhibited the
282 broadest neutralization profiles—were also the only individuals with the detectable FcγRIIIA activation against
283 the infecting serotype (DENV-1) with peaks at relatively late time-points (T3) after symptom onset.

284

285 **4 Discussion**

286 In this pilot study, we determined for the first time distinct antibody effector functions and profiles by ELISA,
287 FRNT, ADE test and FcγRIIIA activation assay across different immunological contexts of DENV 1-4 infection.

288 Notably, in most cases, FcγRIIIA–CD3ζ activation did not consistently correlate with neutralization profiles,
289 one explanation may be that the epitopes driving neutralization differ from those responsible for Fc-mediated
290 functions (28). Neutralization is typically mediated by antibodies targeting structurally critical regions on the
291 virion, such as quaternary epitopes recognizing multiple envelope (E) protein subunits or serotype-specific sites
292 on the E protein domain III (33). By contrast, robust FcγRIIIA activation often arises from highly cross-reactive
293 IgG antibodies against conserved epitopes that confer little DENV neutralization. Notably, many human anti-
294 DENV antibodies dominantly target the precursor membrane (prM) protein and the conserved fusion-loop of E
295 domain II; these antibodies are broadly cross-reactive among serotypes yet poorly neutralizing, even at high
296 concentrations, and can still efficiently opsonize infected cells and virions, triggering FcγRIIIA (17, 33). Indeed,
297 the FcγRIIIA activation assay of this study utilized DENV-infected Vero cells that display both E and uncleaved
298 prM on their surface, providing abundant targets for Fc binding in comparison to neutralization assay (34). In
299 summary, the antigenic determinants of neutralization versus FcγRIIIA-mediated effector function only partially
300 overlap, leading to an uncoupling dissection of these profiles in many samples.

301 Past infection data likely reflect a range of diverse time points post DENV infection (**Figure 1**). Samples with
302 broader serotype reactivity and enhanced Fc-mediated function are consistent with non-primary infections or
303 specimens taken within two years after exposure, when cross-reactive antibodies remain elevated (35).
304 Longitudinal analysis of acute cases provides a clearer view on the kinetics of the humoral response and its
305 associated effector functions. Individuals with secondary or multiple infections exhibited notably stronger
306 FcγRIIIA–CD3ζ activation compared to primary cases. This increased activity reflects not only higher antibody
307 titers but also qualitative differences in the IgG response, possibly due to subclass distribution and Fcγ N297
308 glycosylation pattern as demonstrated in COVID-19 patients (36-38). DENV infection predominantly induces
309 IgG1 and IgG3, both capable of engaging FcγRIIIA. IgG3 is short-lived and more potently neutralizing, while
310 IgG1 is longer-lasting and subject to glycan modification (22). It has been described that afucosylation of IgG1
311 is more prominent in dengue secondary infections, and that elevated levels of afucosylated anti-E IgG1 are
312 present early on severe dengue (22). Afucosylation significantly enhances FcγRIIIA binding (22) which may
313 explain the difference observed between P and NP individuals.

314
315 Analysis of past infection samples revealed a consistent association between the breadth of serotype recognition
316 by neutralization and the magnitude of FcγRIIIA-mediated effector activity. In acute infections, broadly
317 neutralizing sera, typically from those with non-primary infection, tended to activate FcγRIIIA across multiple
318 serotypes more robustly than narrow, type-specific sera. A broader neutralization profile implies a more
319 extensive distribution of IgG bound to diverse epitopes on the virion surface or the infected cells membrane,
320 thereby increasing the valency, defined as the multivalent engagement of antibodies with multiple epitopes, and
321 the density of immune complexes (22). This configuration enhances the odds of cross-linking of FcγRIIIA on
322 effector cells, a prerequisite for efficient receptor signaling (39). This finding is consistent with the concept that
323 a minimum concentration and opsonization density of IgG must be achieved to overcome the activation
324 threshold of FcγRIIIA. Prior studies of dengue immunity have noted that intermediate antibody levels can
325 exacerbate infection (via ADE), but sufficiently high antibody levels confer protection (40, 41). Analogously,
326 only the samples with high IgG binding levels were potent in FcγRIIIA triggering, whereas those with modest
327 titers did not (23, 42). Thus, a higher abundance and breadth of antibodies likely ensures that FcγRIIIA is
328 engaged in antiviral effector functions rather than in enhancing pathways.

329
330 The longitudinal FcγRIIIA activation profiles observed in individuals NP5 and NP4 provide valuable insight
331 into the dynamics of Fc-mediated antibody responses during acute dengue infection. In both cases, a marked
332 FcγRIIIA/CD16 activation signal was detected in response to the infecting serotype (DENV-1) during the acute
333 phase, indicating the presence of FcγRIIIA-activating IgG early in infection. Interestingly, both individuals
334 exhibited a transitory decline in activation at the T2 timepoint, followed by a peak in T3. This transient reduction
335 may reflect *in vivo* engagement of FcγRIIIA-expressing effector cells, such as natural killer (NK) cells or
336 monocytes, by IgG-virus immune complexes, leading to ADCC or phagocytosis and temporary clearance of
337 activating antibodies in immune complexes (22, 23, 42, 43). The increased CD16 activation signal observed in
338 T3 may be due to clonal expansion against the epitopes recognized in the acute infection (44). Notably, while
339 both individuals shared similar FcγRIIIA activation kinetics against the infecting serotype, they differed in their

340 ADE profile: NP5 displayed high ADE in acute sample, while NP4 did not. This immune assessment enabled
341 the distinction between FcγRIIIA-activating antibody profiles with low enhancing potential and those with
342 strong enhancing activity.

343
344 Our study focused exclusively on FcγRIIIA activation profile, which does not capture the full range of FcγR-
345 mediated effector mechanisms. Furthermore, FcγR polymorphisms such as FcγRIIA-H131R and FcγRIIIA-
346 V158F, which affect the affinity of Fcγ receptors for IgG subclasses, have been associated with increased
347 susceptibility and protection against severe dengue, respectively (45, 46). Therefore, a broader approach
348 incorporating additional FcγRs, and their key polymorphic variants, along with FcγR reporter cell assay settings
349 selective for certain ligands including soluble multimeric immune complexes and C reactive Protein isoforms
350 (47, 48), should be undertaken to evaluate the full effector potential of dengue-specific antibodies and to identify
351 thresholds that help define the spectrum of clinical outcomes from DENV infection. The hyperendemic setting
352 in Costa Rica, where multiple flaviviruses co-circulate, highlights the need for a broader viral panel to better
353 interpret antibody profiles. This would allow for the inclusion of both severe and non-severe patients. (2).
354 Increasing the number of patients, outcomes of DENV-disease, and timepoints during the early acute and
355 convalescent phases would provide a more detailed understanding of how FcγR activation evolves. This would
356 also help to clarify its complex role in the dual nature of the humoral response in dengue infection.

357
358 Recent studies highlight the dual impact of FcγRIIIA interactions: afucosylated IgG1 enhancing FcγRIIIA
359 binding has been linked to severe dengue (23, 42, 49, 50), dengue immune complexes can activate NK cells and
360 suppress ADE (51), and stronger FcγRIIIA-driven effector functions, including NK activation, associate with
361 protection from symptomatic infection (22). While NK cell-based assays are highly informative to evaluate the
362 protective role of CD16-activating antibodies, our reporter system allows the measurement of the broader
363 fraction of antibodies capable of engaging FcγRIIIA, including those that may also contribute to
364 immunopathogenic outcomes, since FcγRIIIA expression is not restricted to NK cells but includes monocytes
365 implicated in infection and inflammation (52). This distinction provides a complementary view, revealing
366 potentially different functional profiles of dengue antibodies. Additionally, Kao et al recently revealed that CD8
367 T cells, which typically do not express Fcγ receptors, can specifically induce the activating FcγRIIIa receptor in
368 response to viral infections like COVID-19 and dengue (53). While FcγRIIIa expression closely follows the
369 immune response timeline, its activation alone does not trigger CD8 T cell function; however, it synergizes with
370 T cell receptor (TCR) stimulation to enhance activation (53). These findings uncover a novel costimulatory role
371 for FcγRIIIa, showing how virus-induced antibodies can modulate CD8 T cell responses. By providing a scalable
372 and reproducible way to measure FcγRIIIA engagement beyond natural killer and CD8 T cell functions, our
373 assay offers a novel framework to characterize the balance between protective and pathogenic antibody
374 responses.

375
376 Taken together, our data shows that neutralization and FcγRIIIA-mediated antibody functions against Dengue
377 viruses are often uncoupled which has already been observed with other viral infections before (28).
378 Furthermore, the different epitopes involved in each process may lead to distinct antibody functional profiles.
379 Cross-reactive antibodies (e.g., anti-prM, fusion-loop) may not neutralize dengue virus effectively but still
380 trigger immune effector mechanisms via Fc receptors. To better understand how antibody effector mechanisms
381 and Fc-mediated immunity influence dengue outcomes, different FcγRs and their polymorphisms, distinct
382 immune complex forms, more patients and defined timepoints of sampling should be studied. Our foremost
383 rationale for using these tests will be to evaluate the functional quality of antibodies, especially cross-reactive
384 ones, during different phases of dengue infection (acute and post-acute). This may help elucidate their dual role
385 in both protection and immunopathogenesis, improving our understanding of disease progression and immune
386 responses, and potentially guiding vaccine development by distinguishing between protective and pathogenic
387 antibody profiles.

388

389 **4 Conflict of Interest**

390 The authors declare that the research was conducted in the absence of any commercial or financial
391 relationships that could be construed as a potential conflict of interest.

392 **5 Author Contributions**

393 CSG: Methodology, Investigation, Data curation, Formal analysis, Validation, Visualization, Writing-
394 Original draft, Writing-Review & Editing

395 TM: Methodology, Investigation, Data curation, Formal analysis, Validation, Visualization, Writing-
396 Original draft, Writing-Review & Editing

397 HH: Investigation, Conceptualization, Resources, Formal analysis, Writing-Review & Editing

398 ECA: Funding acquisition, Conceptualization, Formal analysis, Project administration, Supervision,
399 Writing-Original draft, Writing-Review & Editing

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556 **12 Table and Figure Legends**

557 **Table 1.** List of samples from individuals with a confirmed acute infection and with sequential sample collection
 558 at different time points (T1-T4), including the number of days post-symptom onset for sample collection. NP:
 559 non-primary infection; P: primary infection.
 560

ID	Infecting DENV Serotype	Days post-symptoms onset			
		Sample 1 (T1)	Sample 2 (T2)	Sample 3 (T3)	Sample 4 (T4)
P1	DENV-3	3	14	82	-
P2	DENV-3	2	13	81	-
NP1	DENV-1	2	17	-	-
NP2	DENV-1	4	21	-	-
NP3	DENV-1	4	33	127	-
NP4	DENV-1	6	36	116	-
NP5	DENV-1	2	11	48	1719

561

562

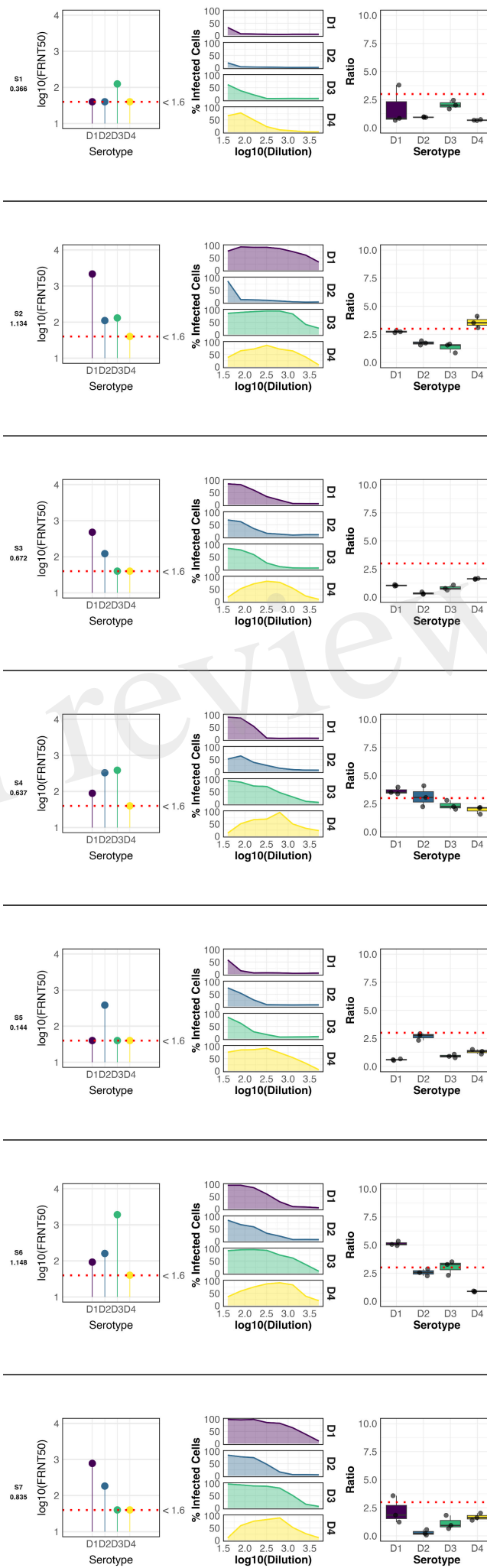
563 **Figure 1.** Anti-DENV antibody profile of seven participants from a serosurvey. The ELISA OD values for IgG
 564 detection are indicated below each participant code. NT: Neutralization profile; ADE: Antibody-dependent
 565 enhancement profile; BW:CD16: FcγRIIIA activation profile. For FcγRIIIA activation, data points represent the
 566 mean of three independent experiments ± standard deviation (SD), and the cutoff for a positive response (dotted
 567 line) was defined as the mean IL-2 production of mock-infected cells plus three standard deviations.
 568 Neutralization titers (FRNT50) were determined by nonlinear regression analysis. Negative controls included
 569 mock-infected cells (for FcγRIIIA assay) and seronegative human sera (for ELISA, ADE, and FRNT assays).

570 **Figure 2.** Anti-DENV antibody profile of seven dengue patients with sequential samples collected at different
 571 time points (T1–T4) post-symptom onset. Infecting serotype is indicated below each patient. ELISA: anti-DENV
 572 IgG and IgM profile; NT: Neutralization profile; ADE: Antibody-dependent enhancement profile; BW:CD16:
 573 FcγRIIIA activation profile. For FcγRIIIA activation, data points represent the mean of three independent
 574 experiments ± standard deviation (SD), and the cutoff for a positive response (dotted line) was defined as the
 575 mean IL-2 production of mock-infected cells plus three standard deviations. Neutralization titers (FRNT50)
 576 were determined by nonlinear regression analysis. Negative controls included mock-infected cells (for FcγRIIIA
 577 assay) and seronegative human sera (for ELISA, ADE, and FRNT assays).

578

579

580



Patient

A) ELISA

B) NT

Figure 2.JPG

C) ADE

D) BW:FcγRIII-ζ

