

1 **A somatic coliphage threshold approach to improve the management of activated sludge**
2 **wastewater treatment plant effluents in resource-limited regions**

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7 **Running Title: Somatic coliphage threshold for WWTP effluent management**

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26

27 **Abstract**

28 Effective wastewater management is crucial to ensure the safety of water reuse projects and
29 effluent discharge into surface waters. Multiple studies have demonstrated that municipal
30 wastewater treatment with conventional activated sludge processes is inefficient for the removal
31 of the wide spectrum of viruses in sewage. In this study, a well-accepted statistical approach was
32 used to investigate the relationship between viral indicators and human enteric viruses during
33 wastewater treatment in a resource-limited region. Influent and effluent samples from five urban
34 wastewater treatment plants (WWTP) in Costa Rica were analyzed for somatic coliphage and
35 human enterovirus, hepatitis A virus, norovirus genotype I and II, and rotavirus. All WWTP
36 provide primary treatment followed by conventional activated sludge treatment prior to
37 discharge into surface waters that are indirectly used for agricultural irrigation. The results
38 revealed a statistically significant relationship between the detection of at least one of the five
39 human enteric viruses and somatic coliphage. Multiple logistic regression and Receiver
40 Operating Characteristic curve analysis identified a threshold of 3.0×10^3 (3.5-log_{10}) somatic
41 coliphage plaque forming unit per 100 mL, which corresponded to an increased likelihood of
42 encountering enteric viruses above the limit of detection ($>1.83 \times 10^2$ virus target/100 mL).
43 Additionally, quantitative microbial risk assessment was executed for farmers indirectly reusing
44 WWTP effluent that met the proposed threshold. The resulting estimated median cumulative
45 annual disease burden complied with World Health Organization recommendations. Future
46 studies are needed to validate the proposed threshold for use in Costa Rica and other regions.

47 **Importance**

48 Effective wastewater management is crucial to ensure safe direct and indirect water
49 reuse; nevertheless, few countries have adopted the virus log reduction value management
50 approach established by the World Health Organization. In this study, we investigated an
51 alternative and/or complementary approach to the virus log reduction value framework for the
52 indirect reuse of activated sludge treated wastewater effluent. Specifically, we employed a
53 well-accepted statistical approach to identify a statistically sound somatic coliphage threshold
54 value, which corresponded to an increased likelihood of human enteric virus detection. This
55 study demonstrates an alternative approach to the virus log reduction value framework, which
56 can be applied to improve wastewater reuse practices and effluent management.

57

58 1. Introduction

59 Conventional activated sludge is an aerobic, secondary wastewater treatment technology
60 that takes advantage of biological processes to remove organic matter and is commonly used in
61 low-, middle- and high-income countries (1). Frequently, activated sludge wastewater treatment
62 plant (WWTP) effluent does not receive additional treatment, even though it is well-known that
63 pathogen removal can be insufficient for safe water reuse (2–9). This is particularly true for
64 enteric viruses because traditional activated sludge treatment typically removes viruses 2.02-
65 \log_{10} (1, 10). Currently, human enteric viruses cause a significant fraction of the disease burden
66 related to wastewater pollution worldwide. Direct and indirect wastewater reuse (e.g.,
67 agricultural irrigation, recreational activities in contaminated surface waters) represents a public
68 health risk; thus, the microbial quality of WWTP effluent should be monitored to manage those
69 risks (11, 12).

70 Fecal indicator bacteria (e.g., fecal coliform, enterococci, and *Escherichia coli*) are the
71 most commonly used indicators for assessing WWTP effluent microbial quality (13). They were
72 initially introduced as indicators when *Salmonella* Typhi was the principal pathogen of concern.
73 Despite their effectiveness for indicating bacterial pathogens, several studies have demonstrated
74 that fecal indicator bacteria did not correlate with enteric viruses in WWTP effluent (14–17).
75 Furthermore, high enteric virus concentrations were detected when fecal indicator bacteria
76 concentrations were low.

77 While fecal indicator bacteria are not useful viral indicators of wastewater treatment
78 processes (18, 19), country-specific legislation concerning WWTP effluent reuse and discharge
79 frequently rely on fecal indicator bacteria (13). No universally accepted viral indicator or criteria
80 exists to date (10). Some governments now include viral indicators, either human reference viral

81 pathogens, somatic coliphage or F+ coliphage, to determine WWTP virus reductions
82 (summarized in (7)). Meta-analyses conducted in wastewater matrices report bacteriophages,
83 particularly somatic coliphage, as good surrogates of human enteric viruses because of their
84 similar characteristics, high concentrations, and low-cost methods that distinguish infectious
85 viruses (10, 20, 21)

86 Currently, the World Health Organization (WHO) recommends a multiple-barrier
87 approach to managing WWTP effluent, in which a reference human enteric virus log reduction
88 value is associated with each treatment process (13). Practitioners define the physical and
89 chemical conditions that achieve the target virus log reduction value, and then assume that the
90 log reduction value remains constant if the physical-chemical conditions do not change (22).
91 While this approach was accepted among experts, most countries in the world have yet to apply
92 this management approach for a variety of reasons (7). Even though routine monitoring is not
93 required if physical-chemical conditions remain constant, this log reduction value effluent
94 management approach has been met with resistance in many countries because it is difficult to
95 implement into practice given that it is not a threshold value.

96 Additionally, the reference human enteric virus analyses required to identify the
97 conditions associated with a target log reduction value are not feasible for many municipal
98 WWTPs in high-income settings, let alone feasible in middle- and low-income contexts. They
99 require expertise and sophisticated laboratory equipment, are time consuming, costly, and enteric
100 virus concentrations are frequently below detectable concentrations (4, 22–24). Furthermore,
101 these reference pathogen analyses are typically executed using molecular methods, which cannot
102 distinguish infectious and non-infectious viruses (7, 23, 25). Even though some countries'
103 legislation focuses on reference enteric virus log reduction values, somatic and F+ coliphage

104 have also been used in the log reduction value management framework (10, 11, 26). Regardless
105 of the human enteric reference virus or indicator used, the log reduction value management
106 framework has been criticized for not effectively protecting public health because it focuses on
107 removal and disregards the variability of human enteric virus concentrations in WWTP influent.
108 Consequently, additional 2-3- \log_{10} removal can be needed to ensure safe WWTP discharge and
109 reuse, even if log reduction value targets are met (4).

110 Prior to the virus log reduction value management approach two decades ago, a somatic
111 coliphage threshold (3- \log_{10} PFU/100 mL) associated with infectious enterovirus concentrations
112 was proposed to better manage WWTP effluent discharges (20). However, this threshold value
113 was never applied to management and needs to be re-calculated because it is based on a non-
114 robust statistical approach and considers just one human enteric virus (27). Given the difficulties
115 and disadvantages associated with applying the virus log reduction value management approach,
116 the objective of this study was to determine a statistically-sound, robust somatic coliphage
117 concentration threshold useful for monitoring WWTP effluents.

118 To demonstrate this approach, somatic coliphage and enteric viruses were monitored at
119 five activated sludge WWTPs in the San José Metropolitan Area, Costa Rica. The human enteric
120 virus included in this study were human enterovirus (EV), hepatitis A virus (HAV), norovirus
121 genotype I and II (NoVGI and NoVGII), and rotavirus group A (RV) because they are an
122 important cause of outbreaks and diarrheal illness in Costa Rica (28, 29). Data were analyzed
123 using the most-accepted, robust statistical methods (multiple logistic regression models and
124 receiver operating characteristics (ROC) curves (27, 30, 31) to establish a useful threshold that
125 corresponds to the minimum somatic coliphage concentration associated with increased human
126 enteric virus detection. Since Costa Rican domestic WWTP effluent is currently managed using

127 fecal coliform concentration thresholds that vary based upon potential wastewater reuse
128 activities, fecal coliforms were also monitored simultaneously and similar statistical analyses
129 were executed to compare the current bacterial indicator with the proposed viral indicator.
130 Finally, quantitative microbial risk assessment was used to estimate the annual disease burden
131 associated with indirectly irrigating with WWTP effluent that met the proposed somatic
132 coliphage threshold.

133

134 **2. Materials and Methods**

135

136 ***2.1 Wastewater treatment plant sample collection***

137 A total of 119, 1.5 L influent (n = 60) and effluent (n = 59) samples were collected from
138 five urban WWTPs located in the San José Metropolitan Area, Costa Rica (Figure 1) All of the
139 WWTPs are small in size (i.e., treating waste from 123 to 1033 inhabitants and only receive
140 domestic wastewater) (5, 6, 32). They consist of primary treatment followed by secondary
141 treatment via conventional activated sludge processes. The WWTP effluents are discharged into
142 the Virilla River, which are also source water for agricultural irrigation. None of these
143 wastewater treatment facilities disinfect effluent prior to surface water discharge. Since this
144 study was executed in a tropical country, there are two seasons: (1) the dry season from
145 December through April and (2) the rainy season from May through November. In order to
146 account for seasonal differences in weather and human enteric virus seasonality, grab samples
147 were collected from each WWTP between 9:00 a.m. and 12:00 p.m. on three consecutive days,
148 for each of the following months in 2013: March, May, October, and December. All samples
149 were collected in sterile, amber bottles and maintained at 4 °C until processed. All samples were

150 analyzed for somatic coliphages and fecal coliform concentrations. Presence/absence analyses
151 for the following human enteric viruses were carried out on a subset of samples using PCR-based
152 methods: EV (n = 117), HAV (n = 117), norovirus GI (NoVGI; n = 72) and GII (NoVGII; n =
153 72); and RV (n = 79).

154

155 **2.2 Fecal coliform analyses**

156 Fecal coliforms most probable number (MPN) concentrations were determined by
157 multiple- tube fermentation (MPN/100 mL) according to Method 9221E within 8 h of collection
158 (33). Briefly, all samples were inoculated in a series of five tubes with lauryl sulfate broth, in
159 which the WWTP influent and effluent samples were serially diluted to a concentration of
160 1:1,000,000 and 1:100,000, respectively, prior to inoculation. Confirmation was executed after
161 48 ± 4 h of incubation at 35 °C, an inoculum of each tube with bacterial growth and gas were
162 transferred to EC-MUG broth and were incubated for 24 ± 2 h at 44.5 °C; tubes positive for fecal
163 coliforms had bacterial growth and gas characteristics. A positive control (*E. coli* ATCC 25922),
164 a negative control (*Salmonella spp.* ATCC 13076), and a blank (containing the dilution buffer as
165 inoculate) were analyzed alongside all samples. No contamination was observed, and all positive
166 and negative controls generated positive and negative results, respectively.

167

168 **2.3 Wastewater Pre-treatment for virus isolation and concentration**

169 All samples were pre-filtered with a metal sieve (0.15 mm pore) in order to break up
170 large organic particles. Viruses were concentrated in accordance with, and following, the
171 Standard Methods for the Examination Water and Wastewater (Section 9510C; (33)). Briefly, the
172 pre-filtered wastewater sample (1.25 L) was successively filtered through three filters pretreated

173 with 3% beef extract (pH 7.2; Oxoid[®], United Kingdom) to remove larger particles and prevent
174 viruses from sticking to the filters: (1) 47 mm, 80 µm glass fiber filter (13400-47-Q; Sartorius[®],
175 Germany); (2) 47 mm, 1.2 µm nitrate cellulose filter (11303-47-N; Sartorius[®], Germany); and
176 (3) 47 mm, 0.4 µm acetate cellulose filter (11106-47-ACN; Sartorius[®], Germany). This filtrate
177 was divided into two parts: 250 mL for somatic coliphage analyses and 1 L for enteric virus
178 analyses. With the exception of the somatic coliphage analyses for WWTP effluent, the filtrate
179 was stored at -70 °C prior to human enteric virus concentration and WWTP influent somatic
180 coliphage quantification.

181

182 ***2.4 Human enteric virus concentration and detection***

183 One liter of filtered WWTP influent and effluent was concentrated using a modified
184 adsorption-elution method (Method 9510B) (33). Sample pH was adjusted to 3.5 with HCl (0.1
185 N) and filtered with 47 -mm, 0.2 -µm cellulose acetate filter (1110tr-47N Sartorius[®], Germany)
186 to adsorb the viruses onto the filter; approximately three filters were used for each sample in
187 order to filter the entire 1 -L sample. Subsequently, the viruses were eluted off the filter(s) with
188 15 mL beef extract 3% pH 9.0. All eluate was collected and precipitated at 4 °C with PEG8000
189 and 17.5 g/L NaCl (34). The final virus concentrates (0.5 ml) were stored at -70 °C prior to RNA
190 purification. The concentration efficiency of this method ranged between 40% - 90% in previous
191 studies (33, 35). It was also tested with a poliovirus vaccine strain (Sabin vaccine strain), in
192 which the concentration of the original and concentrated samples were determined using the
193 Dulbecco plates method (36) with Hep-2 cells. The concentrated sample was 1-log₁₀ more
194 concentrated in comparison to the original sample (data not shown).

195 Viral RNA (50 μ l) was obtained from the entire final virus concentrate (0.5 ml) using the
196 NucleoSpin RNA Virus kit (Macherey Nagel[®], Germany) and cDNA (20 μ l) was synthesized
197 from 8.0 μ l viral RNA using the RevertAid[™] H Minus First Strand cDNA Synthesis kit with
198 random hexamers (Thermo Scientific[®], USA), both following the manufacturer's instructions.
199 Presence/absence analyses for the following human enteric viruses were carried out on a subset
200 of samples using reverse transcriptase polymerase chain reaction (RT-PCR)-based methods and
201 previously published assays and conditions (Table 1; (37–40): enterovirus (EV; n = 117),
202 hepatitis A virus (HAV; n = 117), norovirus GI (NoVGI; n = 72) and GII (NoVGII; n = 72), and
203 rotavirus group A (RV; n = 79). Presence-absence human enteric virus data were generated in
204 this study because previous studies demonstrated a better correlation between enteric virus
205 presence/absence and coliphages in comparison with correlations with quantitative enteric virus
206 data (27, 41). All RT-PCR-based analyses were executed using Master Mix 2X (Fermentas[®],
207 USA) with a final reaction volume of 25 μ L.

208 For the end-point RT-PCR assays (EV and HAV), the Applied BioSystem[®] Veriti 9902
209 thermocycler was used. A sample was identified as positive when PCR products with the
210 anticipated size (EV, 113 bp; HAV, 266 bp) were visualized using 2% agarose gel
211 electrophoresis with GelRed[®]. For NoVGI, NoVGII, and RV presence/absence was determined
212 using RT-quantitative PCR (RT-qPCR) with a StepOne Real-Time PCR thermocycler (Applied
213 Biosystems[®]). A sample was identified as positive if the C_q value was less than 35. For samples
214 with a C_q value greater than 35 and less than 40, the sample was re-run and all samples with
215 mean C_q values \leq 35 were classified as positive.

216 In addition to a negative control (sterile water), the following positive controls, specific to
217 each assay, were used for each instrument run: RV-, NoVGI-, and NoVGII-positive fecal

218 samples (Costa Rican National Children's Hospital), the Sabin 1 (NIBSC 1/528) vaccine strain
219 for EV (University of Costa Rica, Department of Microbiology, Virology Section), and HAX-70
220 strain for HAV (University of Costa Rica, Department of Microbiology, Virology Section). All
221 positive controls yielded positive results and all negative controls were negative. The enteric
222 virus theoretical process detection limit (copies/100 mL) was back-calculated using the following
223 equation, which took into account the efficiency published for each step in the molecular
224 analyses as well as the concentration methods used (Eq. 1):

$$\text{limit of detection} \frac{\text{copies}}{\text{ml}} = \frac{c}{v_1} \times \frac{V_1}{E_1} \times \frac{V_2}{v_2 \times E_2} \times \frac{V_3}{v_3} \times \frac{V_4}{v_4} \times \frac{1}{V_5 \times E_3}$$

225 where c equals copies that could be detected per RT-qPCR reaction (*i.e.*, lowest copy number
226 detected divided by 2 (difference between double-stranded standard curve material and single-
227 stranded viral RNA)); v_1 equals the volume of cDNA added to the qPCR reaction (5 μ l); V_1
228 equals the total volume of cDNA synthesized (20 μ l); E_1 equals the worst-case RT efficiency
229 previously reported (19%; (42)); v_2 equals the volume of RNA in the RT reaction (8 μ l); V_2
230 equals the total volume of RNA purified (50 μ l); E_2 equals the worst-case viral RNA purification
231 efficiency (90%; (43)); v_3 equals the volume of PEG concentrate that RNA was purified from
232 (500 μ l); V_3 equals the total volume of PEG concentrate (500 μ l); v_4 equals the eluate volume
233 that was PEG concentrated (45 mL); V_4 equals the total volume of eluate (45 mL); V_5 equals the
234 total volume of wastewater (1000 mL); and E_3 equals the estimated virus concentration
235 efficiency (40%; (35)). The limit of detection for the assays could have been as few as 10 copies
236 (J. Nordgren, personal communication) and great as 1,000 copies (37, 38). Since the limit of
237 detection of each assay was not tested in this study, the limit of detection (c) was defined as 10
238 copies and 1,000 copies. Thus, the theoretical process limit of enteric virus detection for any
239 given assay was estimated to range from 183 virus copies/100 mL to 18,300 copies/100 mL.

240

241 **2.5 Somatic coliphage quantification**

242 Somatic coliphage concentrations were determined according to Methods 9924B Somatic
243 Coliphage Assay and 9924E Single-Agar-Layer Method with modifications: 250 mL sample
244 volumes were filtered with 0.2 µm filter (cellulose acetate, 11107- 91 47N Sartorius®, Germany)
245 that was pretreated with 3% beef extract pH 7.2 (33, 44). Somatic coliphage concentrations were
246 identified in WWTP effluent samples using single-layer plaque assay (undiluted sample) and in
247 WWTP influent samples using double-layer plaque assay (1:10,000 serial-dilution of sample).
248 Analyses used the host strain *E. coli* ATCC 13706. Positive (PhiX174 ATCC 13706-B1 phage)
249 and negative (buffer only) controls were run alongside samples. No contamination was observed,
250 and all controls gave anticipated results.

251

252 **2.6 Data analyses: statistics and indicator concentration threshold evaluation**

253 Descriptive statistics (mean and standard deviation) and comparative (two-group
254 comparisons) analyses were executed using R' Version 3.5.3 (www.rproject.org) with the
255 appropriate methods for non-parametric uncensored, as well as right-, and left-censored data
256 from the NADA package (45). Mean and standard deviation were calculated for somatic
257 coliphages for WWTP influent, and excluded WWTP influent concentrations that were 5- \log_{10}
258 PFU/100 mL below the average ($n = 31$). These data were excluded because somatic coliphage
259 were analyzed with culture-based analyses that were likely inhibited by high concentrations of
260 household disinfectants (46).

261 The mean and standard deviation were estimated using the Kaplan Meir method for the
262 following censored data: somatic coliphage WWTP effluent, and fecal coliforms WWTP influent

263 and effluent. All somatic coliphage WWTP effluent concentrations below the detection limits
264 (<1 PFU/ 100 mL; e.g. left-censored) were conservatively censored to 0.9 PFU/ 100 mL (n = 8).
265 All fecal coliforms concentrations greater than the method detection limits (e.g., right-censored)
266 were censored to one plus the highest detectable concentration (*i.e.*, > 8.2-log₁₀ MPN/ 100 mL
267 for WWTP influent (n = 22) and > 6.2-log₁₀ MPN/ 100 mL for WWTP effluent (n = 11)). The
268 Peto-Prentice test is a non-parametric analysis that is appropriate for censored data. It was used
269 to test the null hypotheses that there was no significant difference in indicator concentrations
270 (somatic coliphage or fecal coliform) between WWTP influents, WWTP effluents, and WWTP
271 influent and effluents combined.

272 In order to calculate an indicator threshold concentration that corresponds to human
273 enteric virus detection, multiple logistic regression models were created to determine the
274 statistical significance and association between each indicator and any human enteric virus
275 detection for WWTP influent and effluent (41). The positive classification for human enteric
276 virus detection was based upon the detection of any of the five viruses, which reflects the
277 existence of a public health risk if any one of the viruses are detected, and was previously
278 recommended for this type of analysis (27). The multiple logistic regression model equation was
279 defined as (Eq. 2):

$$\ln\left(\frac{p}{1-p}\right) = \beta_0 + \beta_1\chi_1 + \beta_2\chi_2$$

280 where p was human enteric virus detection (PCR positive/negative; dependent and dichotomic
281 variable), β_0 was the intercept, β_1 and β_2 were the regression parameters, χ_1 was the indicator
282 (either somatic coliphage or fecal coliforms) concentration, and χ_2 was the dichotomic variable
283 for season. Analyses were conducted for WWTP influent and effluent separately. The specific
284 WWTP was a controlled factor in the model. Chi-square and unpaired two-sample t-test analyses

285 were used to identify significant ($p < 0.05$) differences between the multiple logistic regression
286 model parameters. Since the WWTP influent multiple logistic regression models did not yield
287 statistically significant relationships; subsequent analyses were conducted only on the WWTP
288 effluent models.

289 For each indicators' WWTP effluent multiple logistic regression model, the area under
290 ROC curves were estimated in order to measure the regression model's ability to discriminate
291 between effluent samples with and without the detection of any human enteric virus pathogens.
292 The ROC curve is a plot of sensitivity (true-positive rate, y-axis) and specificity (false-positive
293 rate, x-axis) of the logistic regression model and it was used predict human enteric virus
294 detection (any of the five human enteric viruses) in effluent samples. The area under the ROC
295 curve, also known as ROC/AUC value, is a precision estimate expressed as a continuous value
296 within a 0 to 1 range. The higher the ROC/AUC value, the more precise the logistic prediction
297 model. The ROC/AUC value and the area under the ROC curve are among the most objective
298 methods for the evaluation of binary classifiers (27, 31) and have previously been used to
299 predict enterovirus presence based upon somatic coliphage concentrations in recreational
300 waters (47). Multiple logistic regression and ROC curve analyses (27, 47) were executed using
301 STATA software version 13 (48).

302 The recommended cut-off points for ROC/AUC were used in this study to determine
303 the logistic regression model's discrimination ability: 0 to 0.5, null discrimination; 0.7 to 0.8,
304 acceptable discrimination; 0.8 to 0.9, excellent discrimination; and 0.9 - 1.0, exceptional
305 discrimination (31, 49). The multiple logistic regression model's discrimination ability must be
306 at least acceptable ($\text{ROC/AUC} \geq 0.7$) in order to identify a statistically-sound WWTP effluent
307 indicator threshold concentration associated with an increased probability of human enteric

308 virus detection. Additionally, the indicator concentration parameter in the multiple logistic
309 regression model must have a significant association (p-value <0.05) with the detection of any
310 human enteric virus. The WWTP effluent somatic coliphage multiple regression model was
311 the only model to comply with the aforementioned criteria. The somatic coliphage threshold
312 concentration was identified at the concentration associated with the greatest sensitivity and
313 specificity in the ROC analysis.

314 The calculated somatic coliphage threshold concentration was evaluated for its ability
315 to identify human enteric virus PCR-positive WWTP effluent samples (27, 31). True-positive
316 (*i.e.*, PCR-positive for any of the human enteric viruses analyzed and somatic coliphage
317 concentration equal to or above the threshold), true-negative (*i.e.*, PCR-negative for any of the
318 human enteric viruses analyzed and somatic coliphage concentration below the threshold),
319 false-positive (*i.e.*, PCR-negative for any of the human enteric viruses analyzed and somatic
320 coliphage concentration equal to or above the threshold), and false-negative (*i.e.*, PCR-positive
321 for any of the human enteric viruses analyzed and somatic coliphage concentration below the
322 threshold) samples were calculated. Finally, the positive predictive value (*i.e.*, probability of
323 being PCR-positive for any of the human enteric viruses analyzed and the sample exceeded the
324 indicator threshold) and the negative predictive value (*i.e.*, probability of being PCR-negative
325 for any of the human enteric viruses analyzed and the sample was below the indicator
326 threshold) were calculated.

327 ***2.7 Quantitative microbial risk assessment for indirect reuse of wastewater treatment plant*** 328 ***effluent meeting the somatic coliphage threshold***

329 In order to understand the health risks associated with the proposed somatic coliphage
330 threshold, quantitative microbial risk assessment was executed for a hypothetical wastewater

331 reuse scenario using EV, HAV, and NoVGI as reference pathogens. RV was not included in
332 this analysis because adults are not susceptible to RV (50). NoVGII was not included because
333 no dose-response curve currently exists (62). The annual disease burden for an adult farmer
334 indirectly irrigating with WWTP effluent meeting the somatic coliphage threshold was
335 estimated in 'R' Version 3.5.3 (www.rproject.org). For each model parameter defined as a
336 distribution, a set of 10,000 random values was used to calculate the annual disease burdens in
337 order to account for the uncertainty and variability associated with the model parameters.

338 First, daily exposure was defined for an adult farmer indirectly using the WWTP effluents
339 from this study to irrigate crops, using the following equation (Eq. 3) for each enteric virus and
340 parameter values/distributions (Table 2):

$$dose = v \times \left(\frac{c \times e^{-k_d t}}{(1 + d)} \right)$$

341 where c is the WWTP effluent virus concentration when somatic coliphage concentrations are
342 below the threshold, v is the volume of water accidentally ingested by the adult farmer
343 irrigating on one day, d is the dilution factor from the WWTP effluent mixing in the river, k_d is
344 the mean virus decay rate constant, and t is decay time (*i.e.*, the time the virus was in the river
345 prior to irrigation). Similar to other studies, it was assumed that 1 mL of water was
346 accidentally ingested per day of exposure (51, 52). The infectious enteric virus concentration
347 in the WWTP effluent was defined as a uniform distribution between 0 and the maximum
348 theoretical process limit of detection. Virus decay followed a first-order decay equation (53),
349 using mean decay rates determined from experiments with similar conditions to those in the
350 Virilla River (54–56). The WWTP effluent in this study is indirectly reused at distances
351 ranging from 1 m to 3 km from WWTP discharge; thus, decay time was defined as a uniform
352 distribution between 0 and 1 days (57). Since the dilution factor can vary greatly over time and

353 by season, the dilution factor was defined as a uniform distribution between a conservative
354 dilution factor (99:1) and a maximum dilution factor (50,000:1) (53).

355 The daily probability of infection (P_{inf}) for each virus was then calculated using the
356 dose previously calculated (Eq. 3) and the previously published dose-response curves and
357 parameters distributions (Table 2). Briefly, the exponential dose-response curve was used for
358 EV, which was derived from a study with pigs and porcine enterovirus type 7 (58). The
359 exponential dose-response curve was also used for HAV, derived from a HAV human
360 challenge study (59). For NoVGI, the fractional Poisson dose-response curve, derived from
361 NoVGI human challenge studies, was used (60). Since there is no agreement among the
362 scientific community with respect to NoV dose response parameters, they were described as
363 recommended (62). The NoVGI aggregation factor (μ) was described as distribution ranging
364 from minimum to maximum aggregation. The NoVGI genetically susceptible fraction of the
365 population (p) was adjusted to represent Costa Rica's demographics (61, 62).

366 Subsequently, the daily probability of illness (P_{ill}) for each virus was calculated with
367 the following equation (Eq. 4):

$$P_{ill} = P_{inf} \times M$$

368 where P_{inf} is the probability of infection previously calculated and M is the morbidity ratio
369 (Table 2 (50, 51, 59, 63–66)). The annual risk of illness (P_a) for each virus was then calculated
370 as follows (Eq. 5):

$$P_a = 1 - (1 - P_{ill})^n$$

371 where P_{ill} is the daily risk of illness (Eq. 4) and n is the number of days adult farmers are
372 exposed each year. Similar to other wastewater reuse irrigation studies, it was assumed that
373 farmers irrigated 75 days per year (51, 65, 66). Finally, the annual disease burden (DB ; daily

374 life adjusted years (DALYS)/person) for each virus was estimated as follows (Eq. 6):

$$DB = P_a \times B \times S_f$$

375 where P_a is the annual risk of illness (Eq. 5), B is the disease burden per case of illness, and S_f
376 is the susceptible fraction of the population (Table 2). The disease burden per case of illness
377 (B) was not available for Costa Rica (middle-income country); thus, it was defined as a
378 uniform distribution with minimum and maximum values identified for developing and
379 developed countries (50, 67–69). The NoV susceptible fraction of the population (S_f) was
380 defined as a uniform distribution for the Costa Rican demographic (61, 62). The EV
381 susceptible fraction of the population (S_f) was assumed to be 1 given high EV diversity (51).
382 The HAV susceptible fraction of the population was 0.717, as defined by seroprevalence in
383 adult Costa Rican population (28). Finally, the cumulative annual disease burden per person
384 from the three reference viruses was calculated by adding together the annual disease burden
385 (DB) for each virus. Since the dose calculation usually has the most significant influence on
386 model outputs (70) this quantitative microbial risk assessment's sensitivity to the exposure
387 assessment (Eq. 3) input parameters was tested by calculating the Spearman rank order
388 coefficients between the simulated input parameters and the estimated cumulative annual
389 disease burden ($\alpha = 0.05$).

390

391 **3. Results and Discussion**

392

393 ***3.1 Fecal coliforms and somatic coliphage in untreated wastewater***

394 For the five WWTPs investigated during this study, the mean (+/- standard deviation)
395 fecal coliform influent concentration was estimated as $6.8 \pm 6.8\text{-log}_{10}$ MPN/ 100 mL, similar to

396 those summarized in the literature (71). The mean (\pm standard deviation) somatic coliphage
397 influent concentration was $8.7 \pm 9.0 \cdot \log_{10}$ PFU/ 100 mL, which is $3 \cdot \log_{10}$ PFU/ 100 mL greater
398 than the mean concentration calculated in a recent global meta-analysis (Figure 2) (11). It is
399 important to note that this recent meta-analysis did not include any Latin American countries and
400 identified statistically significant differences between the geographical locations studied (11).
401 The mean somatic coliphage influent concentrations reported in this study are more comparable
402 to those in Argentina and Colombia, which are likely more similar to those in Costa Rica due to
403 geographic location and water usage (72).

404

405 ***3.2 Fecal coliforms and somatic coliphage highly variable in treated wastewater***

406 Both fecal coliforms and somatic coliphage concentrations were highly variable in the
407 WWTP effluent studied, with mean and standard deviations estimated as $6.1 \pm 6.6 \cdot \log_{10}$ MPN/
408 100 mL and $3.2 \pm 3.1 \cdot \log_{10}$ PFU/ 100 mL, respectively (Figure 2). The effluent fecal coliforms
409 and somatic coliphage concentrations were similar to other WWTP studies (3, 20, 73, 74).
410 Variability in the WWTP operational conditions (e.g., concentration of mixed liquor suspended
411 solids, temperature, and biochemical oxygen demand (BOD)) are likely responsible for the
412 indicator variability observed in this study (10, 72, 75, 76). Globally, fecal coliforms and somatic
413 coliphage mean concentrations were significantly lower in the effluent in comparison to the
414 influent ($p < 0.0001$). However, with respect to WWTPs individually, mean fecal coliforms and
415 somatic coliphage concentrations were lower in effluents than in influents at three of the five
416 WWTPs ($p = 6.0 \times 10^{-6}$ to 0.02) and all five of the WWTPs ($p = 6.0 \times 10^{-7}$ to 0.04), respectively.
417 Globally, the fecal coliforms and somatic coliphage mean (\pm standard deviation) log reduction

418 values were $0.99 \pm 1.33\text{-log}_{10}$ and $2.70\text{-}2.60\text{-log}_{10}$, respectively, and coincided with ranges
419 previously reported (1).

420

421 ***3.3 Human enteric viruses frequently detected in (un)treated wastewater***

422 Human enteric viruses (EV, HAV, NoVGI, NoVGII, or RV) were detected in WWTP
423 influent and effluent at variable frequencies (Table 3). No statistical difference with respect to
424 the frequency of human enteric virus detection was found between the WWTP influent and
425 effluent ($p > 0.45$). RV was the most frequently detected in both influent and effluent samples
426 (47% and 39%, respectively; Table 3), followed by NoV (GI and GII; 39% and 36%,
427 respectively). Globally, NoVGI was detected two times more frequently than NoVGII. Less than
428 25% of the samples were positive for EV and less than 10% of the samples for HAV. It is
429 important to mention that EV and HAV were analyzed in 117 out of 119 water samples;
430 meanwhile, RV and NoV were analyzed in two-thirds of the samples ($n = 79$ and 80 ,
431 respectively). Similar to all PCR-based analyses, it is possible that samples with undetected
432 viruses had virus concentrations below the method detection limits or that inhibitors decreased
433 RT-PCR efficiency (24). Additionally, a mixture of end-point and qPCR assays were effectively
434 used in this study because improved resources were not logistically available. The lack of
435 available resources is common in middle- and low-income countries because funds are limited,
436 and supplies are often more expensive as well as difficult to import. When possible, future
437 studies should use just one type of PCR-based analysis.

438 The RV and NoV data presented in this study corroborate with RV and NoV
439 epidemiologies in Central and South American countries, in which they are present throughout
440 the year and peak during the dry season (December – May) (77–79). Similar to our study,

441 NoVGI has been previously quantified in Costa Rican wastewater year-round, with peaks in the
442 dry season, at a WWTP in the Province of Puntarenas; in contrast, RV was the most frequently
443 detected virus in our study and the lowest quantified in Symonds *et al.* (80). The difference in
444 RV prevalence between the two studies is likely due to RV epidemiology in Costa Rica, where
445 RV infection is more frequent in the Greater Metropolitan Area compared to coastal regions
446 (such as the WWTP in Puntarenas) (81). With respect to EV, detection was very low (22%) in
447 influent and effluent in comparison to the USA (e.g., > 92% (25)). This difference may be due to
448 differences in epidemiology and/or methods between the two studies; however, it is difficult to
449 ascertain the origin of these differences because Central American EV epidemiology data is
450 limited.

451

452 ***3.3 Fecal coliforms do not correlate with human enteric virus detection and no threshold***
453 ***identified***

454 Multiple logistic regression models were used to analyze the statistical relationship
455 between fecal coliform concentrations and the detection of human enteric viruses at influent
456 and effluent wastewater samples. The estimated parameters from this logistic regression model
457 were -3.47×10^{-07} ($p = 0.258$) for fecal coliforms concentrations and 0.8881 ($p = 0.297$) for
458 dry/rainy season. According to the model, fecal coliforms do not correlate with human enteric
459 virus detection in WWTP influent or effluent (OR = 0.99, $p = 0.26$). Despite the lack of
460 relationship between fecal coliforms and human enteric viruses detection, ROC analysis was
461 used to estimate a possible fecal coliform concentration associated with the detection of any of
462 the five human enteric viruses analyzed (*i.e.*, to identify an appropriate maximum fecal
463 coliform concentration associated with increased human enteric virus detection). The ROC

464 analysis for fecal coliforms and human enteric virus detection did not have acceptable
465 precision (ROC/AUC= 0.64). These findings corroborate with previous studies that did not
466 identify correlations between fecal coliform concentrations and human enteric virus detection
467 (10, 20, 41, 82).

468

469 ***3.4 Somatic coliphage correlate with human enteric virus detection and a threshold was***
470 ***identified***

471 Multiple logistic regression models were also used to analyze the statistical relationship
472 between somatic coliphage concentrations (PFU/ 100 mL) and human enteric virus detection
473 in WWTP influent and effluents. For the WWTP influent, the estimated multiple logistic
474 regression model parameters were 3.98×10^{-10} ($p = 0.074$) for somatic coliphage concentration
475 and 0.5606 ($p = 0.035$) for dry/rainy season. WWTP influent had a 75% probability of being
476 positive for at least one of the human enteric viruses studied during the dry season in
477 comparison to the rainy season (OR = 1.75, $p = 0.035$). Additionally, a significant correlation
478 between somatic coliphage concentrations and human enteric virus detection was identified in
479 WWTP effluent (OR = 1.00, $p = 0.01$), which was similar to those previously described by (3,
480 10, 83). For the WWTP effluent, the estimated multiple logistic regression model parameters
481 were -0.0004 ($p = 0.006$) for somatic coliphage concentration and 0.8881 ($p = 0.297$) for
482 dry/rainy season. It is important to note that season was not a significant predictor of human
483 enteric virus detection in WWTP effluent (OR = 2.43, $p = 0.297$).

484 In order to determine an appropriate somatic coliphage concentration associated with
485 an increased probability of human enteric virus detection, ROC analysis was used to estimate
486 the somatic coliphage concentration associated with human enteric virus detection (*i.e.*, any of

487 the five viruses) in WWTP effluent. The area under the ROC curve (AUC) was 0.7 (Figure 3);
488 thus, it had an acceptable discrimination ability. The sensitivity and specificity curves intersect
489 near the 0.526 probability cutoff, where the highest specificity (75%) and sensibility (54%)
490 were found when the somatic coliphage concentration was 3.5-log_{10} PFU/ 100 mL ($p = 0.526$).
491 Thus, this somatic coliphage threshold (3.5-log_{10} PFU/100 mL) was the concentration most
492 likely associated with a lack of human enteric virus detection.

493 This somatic coliphage threshold was evaluated for its ability to identify human enteric
494 virus PCR-positive WWTP effluent samples by calculating the Positive and Negative Predictive
495 Values (31). The frequencies of true-/false-positives and true-/false-negatives were calculated
496 for each enteric virus type (Table 4), which were used to calculate the Positive and Negative
497 Predictive values. Positive Predictive Value was 46%; therefore, 46% of samples had somatic
498 coliphage concentrations above the threshold and human enteric viruses were detected. The
499 Negative Predictive Value was 33%; thus, 33% of samples had somatic coliphage concentrations
500 less than the threshold and no human enteric viruses were detected. Using this threshold, only a
501 34.5% of the samples were classified as false-negative and would represent a possible human
502 health risk (Table 4). Overall, 65.6% of WWTP effluent samples were safely classified using the
503 proposed somatic coliphage threshold.

504 Similar to this study, low or undetectable human enteric virus concentrations were
505 measured in WWTP effluent when somatic coliphage concentrations were below 3.5-log_{10} PFU/
506 100 mL (3, 20, 73, 74, 83, 84). Nevertheless, it is important to note that the results of this study
507 are directly dependent on the efficiencies and detection limits of the methods used. It is possible
508 that the somatic coliphage threshold would be different if different methods (*e.g.*, virus
509 concentration, RNA extraction) were used or if additional/fewer human enteric viruses had been

510 analyzed. Furthermore, this study does not take into consideration the detection of infectious
511 human enteric viruses. Future studies are needed to explore and confirm the somatic coliphage
512 threshold identified in this study. Specifically, studies are needed that take into consideration
513 human enteric virus infectivity. It is also important to analyze how the use of different methods
514 may or may not influence the somatic coliphage threshold identified. Interestingly, the somatic
515 coliphage threshold identified in this study is similar to the threshold previously proposed two
516 decades ago (3-log_{10} PFU/ 100 mL), even though this study were executed using different
517 statistical and virus methods, only analyzed human EV, and used cell-culture methods (20).

518

519 *3.5 Annual disease burden for indirectly reusing wastewater effluent below the proposed* 520 *threshold*

521 Quantitative microbial risk assessment was used to estimate the EV, HAV, NoVGI, as
522 well as cumulative (all three viruses) annual disease burden for an adult farmer irrigating
523 indirectly (75 days per year) with WWTP effluent below the proposed somatic coliphage
524 threshold. The median cumulative annual disease burden per adult farmer was 2.52×10^{-5}
525 DALYs (Figure 4), which is less than the recommendation of 10^{-4} (11, 85). EV contributed the
526 most to the cumulative annual disease burden, followed by HAV and NoVGI. The exposure
527 assessment parameter sensitivity analysis indicated that the daily volume ingested ($\rho = 0.479$, $p =$
528 2.2×10^{-16}), WWTP effluent infectious enteric virus concentrations ($\rho = 0.471$, $p = 2.2 \times 10^{-16}$),
529 and the dilution factor ($\rho = -0.466$, $p = 2.2 \times 10^{-16}$) were most influential on the cumulative
530 annual disease burden estimates in comparison to the decay-related variables ($0.102 \leq |\rho| \leq$
531 0.231 ; $p < 2.2 \times 10^{-16}$). Furthermore, the NoVGI decay rate did not significantly correlate with
532 the cumulative annual disease burden ($\rho = -0.017$, $p = 0.087$).

533 Based upon the sensitivity analysis results, it is likely that the cumulative annual disease
534 burdens may increase or decrease markedly if the estimated daily volume ingested and/or
535 WWTP effluent infectious enteric virus concentrations were higher or lower, respectively. In
536 order to incorporate uncertainty and variability in this study, WWTP effluent infectious enteric
537 virus concentrations were defined as a uniform distribution between 0 and the maximum
538 theoretical process limit of detection. It was assumed that all viruses were infectious; thus, it is
539 possible that the cumulative disease burden calculated overestimated risk. Additionally, the
540 cumulative disease burden calculated could underestimate the actual risk if the theoretical
541 process limit of detection was greater than the maximum value estimated. Nevertheless, the
542 theoretical process limit of detection took into account losses associated with virus concentration
543 and detection.

544 Since Costa Rican culture lacks habits associated with additional hand-mouth contact
545 (*e.g.*, Bolivia, chewing coca leaves), a point value traditionally used in quantitative microbial risk
546 assessment was used even though it can impact model output (51). Similarly, it was difficult to
547 identify the dilution factor of the WWTP effluent entering the river due to constant fluctuations
548 in river flow rates and volume. Consequently, this parameter was defined as a distribution
549 between a conservative (99:1) and maximum (50,000:1) assumption (53). If the dilution factor
550 was greater, then the cumulative annual disease burden estimates would be much lower. Finally,
551 cumulative annual disease burden would be greatly affected if the number of days farmers
552 irrigated indirectly with WWTP effluent were greater or less than the assumed 75 days.

553 While quantitative microbial risk assessment is a useful tool, it is based upon assumptions
554 that may or may not reflect reality. Consequently, this quantitative microbial risk assessment
555 incorporated the use of parameter distributions to account for this uncertainty and variability.

556 Nevertheless, it is important to recognize that the dose-response curves and parameter
557 distributions may not reflect realistic human populations, which can highly influence model
558 outputs (53, 70). This is particularly true for EV, which is based upon a non-human model (51,
559 58), and NoVGI because there is no agreement on which parameters are most appropriate (62).
560 Point values were also used for certain parameters when preferred values were previously
561 identified in other studies. Additionally, data from other contexts were used when contextualized
562 data were lacking. Similar to other studies, it is likely that these assumptions influenced the
563 model output (51,53, 70). Although wastewater contains a wide-variety of disease-causing
564 viruses, it is not possible to estimate the disease burden of all pathogens given the lack of
565 disease-related and dose-response data. Consequently, this study incorporated three reference
566 pathogens to calculate the cumulative annual disease burden associated with indirect wastewater
567 reuse with WWTP effluent meeting the somatic coliphage threshold.

568

569 ***3.6 Implications for activated sludge WWTP effluent management***

570 As far as we know, this is the first report of a statistically sound somatic coliphage
571 threshold estimation for WWTP effluent management. The use of a somatic coliphage threshold
572 of 3.5-log_{10} PFU/ 100 mL is an affordable alternative and/or complement to the virus log
573 reduction value multiple barrier system approach, and if implemented, could improve WWTP
574 effluent management in resource-limited regions that have been resistant to the aforementioned
575 approach. Thus, compliance with this threshold would assure lower enteric virus concentrations
576 discharged into nearby rivers with downstream uses in agriculture.

577 Additionally, the indirect reuse of WWTP effluent meeting the proposed somatic
578 coliphage threshold was associated with a median cumulative annual disease burden that

579 complies with the WHO recommendation (13, 85). Given the potential of the proposed somatic
580 coliphage to improve activated sludge WWTP effluent management, further research is
581 warranted to validate, improve, and optimize this threshold for use in Costa Rica. Future
582 investigations should include improved disease burden estimates that contain the most context-
583 appropriate data possible, especially with respect to the exposure assessment parameters.
584 Additional research is also needed to validate the way in which such a threshold should be
585 implemented (*e.g.*, geometric mean, single measurement, 95% percentile) to ensure improved
586 wastewater effluent management, and ultimately better protect public health. Finally, the
587 statistical approach presented here can be implemented in other regions to determine a logical
588 and feasible metric to improve upon existing WWTP discharge legislation.

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599

600

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Table 1. RT-PCR and RT-qPCR assays used to detect enterovirus, hepatitis A, rotavirus group A, and norovirus genotypes I and II.

Virus	Gene	Primer Sequence	PCR conditions	Primer Final concentration	Reference
EV	5' Non coding region	EV1: 5' ATTGTCACCATAAGCAGCCA 3'	PCR I: EV1/EV2	EV1: 6.1 mM	(37)
		EV2: 5' TCCGGCCCCTGAATGCGGCTAATCC 3'	Activation cycle (95 °C 5 min)	EV2: 7.6 mM	
		EV3: 5' ACACGGACACCCAAAGTAGTCGGTTCC 3'	30 cycles: 95 °C 45s, 55 °C 45 s, and 70 °C 45s	EV3: 8.2 mM	
		EV4: 5' TCCGGCCCCTGAATGCGGCTAATCC3'	PCR II: EV3/EV4 Activation cycle (95 °C 5 min) 30 cycles: 95 °C 45s, 55 °C 45 s, and 70 °C 45s	EV3: 7.6 mM	
HAV	VP1 region	HA1: 5' TTGCTCCTCTTTATCATGCTATG 3'	Activation cycle (95 °C 5 min)	HA1: 8.7 mM	(38)
		HA3: 5' TGGTAAATCTAATGGTCCTCTATA 3'	40 cycles: 95 °C 30s, 46 °C 30 s, and 70 °C 30s	HA3: 9.6 mM	

RV	NSP-3	ROTAS1: 5' ACCATCTTCACgTAACCCTC 3'	Activation cycle (95 °C 5 min)	ROTAS1: 0.2	(39)
		ROTAS2: 5' ACCATCTACACATGACCCTC 3'	40 cycles: 95 ° 20 s, 60 °C 40 s	pM	
		ROTAA: 5' CACATAACGCCCTATAGCC 3'		ROTAS2: 0.2	
		ROTAP: [6FAM]-		pM	
		GGGGATGAGCACAATAGTAAAAAGCTAACA		ROTAA: 0.2	
		CTGTCAA -[BBQ]		pM	
				ROTAAP: 0.2	
				pM	
NoV	ORF1	NVG1F: 5' CGYTGGATGCGNTTCCATGA 3'	Activation cycle (95 °C 5 min)	NVG1F: 0.2 pM	(40)
	GI	NVG1R: 5' GTCCTTAGACGCCATCATC 3'	40 cycles: 95 ° 15 s, 56 °C 60 s	NVG1R: 0.2 pM	
		G1-prob: [6FAM]-AGATYGCGRTCYCCTGTCCA-		G1-prob: 0.2	
		[BHQ1]		pM	
NoV	ORF1	NVG2F: 5' ATGTTYAGRTGGATGAGRTTYTC 3'	Activation cycle (95 °C 5 min)	NVG2F: 0.2 pM	(21)
	GII	COG2R: 5' TCgACgCCATCTTCATCACA 3'	40 cycles: 95 ° 15 s, 56 °C 60 s	COG2R: 0.2 pM	
		G2-prob:		G2-prob: 0.2	

[JOE]TGGGAGGGCGATCGCAATCT[BHQ1]

pM

Table 2. Quantitative microbial risk model parameter values/distributions, and dose-response equations.

Parameter	Units	Value or distribution	Reference
Virus concentration in WWTP effluent (c)	Virus/1 mL	uniform(0, 182)	This study.
Volume of water ingested (v)	mL	1	(51, 52)
Dilution factor (d)	proportion	uniform(99,50000)	(53)
Time in river (t)	day	uniform(0,1)	(53, 57)
Mean decay rate (k_d)	day ⁻¹		
enterovirus		0.028	(55)
hepatitis A		0.22	(54)
norovirus genotype I		0.08	(56)
Dose-response			
enterovirus	Exponential	$P_{inf} = 1 - e^{-d \times k}$ k = uniform(0.00291, 0.00562)	(58)
hepatitis A	Exponential	$P_{inf} = 1 - e^{-d \times k}$ k = uniform(0.00005871, 0.001191)	(59)
norovirus genotype I	Fractional Poisson	$P_{inf} = P \times [1 - e^{-\frac{d}{\mu}}]$ P = uniform(0.87, 1) μ = uniform(1, 1106)	(60 - 62)
Morbidity Ratio (M)			

$M_{\text{enterovirus}}$	median	0.9	(50)
$M_{\text{hepatitis A}}$		uniform(0.25, 0.92)	(59, 63)
$M_{\text{norovirus}}$		uniform(0.3, 1)	(64)
Total annual days of irrigation	days/farmer	75	(51, 65-66)
Disease burden per illness (B)			
	DALYS/case of illness		
$B_{\text{enterovirus}}$		uniform(0.0024,0.0150)	(68, 69)
$B_{\text{hepatitis A}}$		uniform(0.0761, 0.191)	(50)
$B_{\text{norovirus}}$		uniform(0.000371, 0.00623)	(67)
Susceptible fraction of population (S)			
	proportion		
$S_{\text{enterovirus}}$		1	(51)
$S_{\text{hepatitis A}}$		0.717	(28)
$S_{\text{norovirus}}$		uniform(0.87,1.00)	(61, 62)

Table 3. Positive samples (%) for human enteric viruses in wastewater influent and effluent from five activated sludge wastewater treatment plants in Costa Rica, 2013.

Variable	Influent	Effluent	Total	p*
	(No. positives / No. samples) (%)	(No. positives / No. samples) (%)	(No. positives / No. samples) (%)	
Any viral pathogen**	32/60 (53%)	31/59 (53%)	63/119 (53%)	0.93
Enterovirus	13/59 (22%)	13/58 (22%)	26/117 (22%)	0.96
Hepatitis A	5/59 (8%)	3/58 (5%)	8/117 (7%)	0.48
Rotavirus	18/38 (47%)	16/41 (39%)	34/79 (43%)	0.45
Norovirus GI	16/38 (39%)	13/34 (36%)	29/72 (37%)	0.74
Norovirus GII	9/38 (24%)	4/34 (12%)	13/72 (18%)	0.19
All Norovirus	16/41 (39%)	14/39 (36%)	30/80 (37%)	0.77

* Person Chi-square results for differences in detection of pathogens.

** Total number of water samples positive for any pathogenic virus.

Table 4. Relationship between human enteric virus detection and somatic coliphage concentrations above calculated threshold in wastewater treatment plant (WWTP) effluent.

Human Enteric Virus*	WWTP effluent samples with somatic coliphage detection above threshold			
	True	False	False	True
	Positive (%)	Positive (%)	Negative (%)	Negative (%)
Enterovirus (n=58)	6 (10.3)	22 (37.9)	7 (12.1)	23 (39.7)
Hepatitis A Virus (n=58)	0 (0)	28 (48.3)	3 (5.2)	27 (46.6)
Rotavirus (n=41)	6 (14.6)	23 (56)	10 (24.4)	12 (29.3)
Norovirus (n=40)	6 (15.8)	13 (31.7)	7 (18.4)	14 (36.8)
Any virus (n=58)	13 (22.4)	15 (25.9)	20 (34.5)	10 (17.2)

* Some samples were positive for more than one human enteric virus

1 **Figure Legends**

2

3 **Figure 1.** San José Province depicting the location of the five wastewater treatment plants
4 included in the study. The San José Province is located at an altitude of 760 – 1,230 m
5 above sea level and has an average temperature of 22°C year-round. Annual average
6 precipitation ranges from 2,000–3,000 mm.

7

8 **Figure 2.** Global somatic coliphage and fecal coliform concentrations at influent and
9 effluent of WWTP by sampling period.

10

11 **Figure 3.** Area under Receiver Operating Characteristic (ROC) curve for the multiple
12 logistic regression model of somatic coliphage concentrations as a function of human
13 enteric virus detection in conventional activated sludge WWTP effluent.

14

15 **Figure 4.** The estimated annual disease burden for an adult farmer indirectly irrigating with
16 wastewater treatment plant effluent below the somatic coliphage threshold, which was
17 estimated for norovirus genotype I (NoV), enterovirus (EV), hepatitis A (HAV, as well as
18 cumulatively considering the three aforementioned viruses. The dashed red line identifies
19 the World Health Organization's annual recommended limit for the additional disease
20 burden caused by wastewater reuse (10^{-4} DALYS per person).

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